

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jun-ichi Nezu et al. Art Unit : 1647
Patent No. : 7,947,470 Examiner : Bridget E. Bunner
Issue Date : May 24, 2011 Conf. No. : 4898
Serial No. : 10/762,154
Filed : January 21, 2004
Title : POLYNUCLEOTIDES ENCODING HOCTN1 POLYPEPTIDE

Mail Stop PETITION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST TO CORRECT INVENTORSHIP IN A PATENT
PURSUANT TO 37 C.F.R. § 1.324

Applicant requests that AKIRA TSUJI be added as an inventor of the above-captioned patent, thereby naming JUN-ICHI NEZU, ASUKA OKU and AKIRA TSUJI as joint inventors. Accompanying this Request are the following documents required to correct inventorship under 37 C.F.R. § 1.324:

- 1) A Statement by AKIRA TSUJI, indicating that the error in inventorship occurred without deceptive intent on his part;
- 2) A Statement from current inventors, JUN-ICHI NEZU and ASUKA OKU, agreeing to the change of inventorship of the above-captioned patent;
- 3) A Statement of Assignee by CHUGAI SEIYAKU KABUSHIKI KAISHA, Assignee of the above-captioned patent by virtue of a chain of title originating in the originally named inventors, JUN-ICHI NEZU and ASUKA OKU, consenting to the change of inventorship;
- 4) A Certificate by CHUGAI SEIYAKU KABUSHIKI KAISHA, Assignee of the above-captioned patent by virtue of a chain of title originating in the originally named inventors

CERTIFICATE OF (A) MAILING BY FIRST CLASS MAIL OR (B) TRANSMISSION

I hereby certify under 37 CFR §1.8(a) that this correspondence is either (A) addressed as set out in 37 CFR §1.1(a) and being deposited with the United States Postal Service as first class mail with sufficient postage, or (B) being transmitted by facsimile in accordance with 37 CFR § 1.6(d) or via the Office electronic filing system in accordance with 37 CFR § 1.6(a)(4), on the date indicated below.

October 21, 2011

Date of Deposit or Transmission

/Jonathan N. Leach/

Signature

Jonathan N. Leach

Typed or Printed Name of Person Signing Certificate

Applicant : Jun-ichi Nezu et al.
Patent No. : 7,947,470
Issued : May 24, 2011
Serial No. : 10/762,154
Filed : January 21, 2004
Page : 2 of 2

Attorney's Docket No.: 14875-0057002 / C2-906DP1PCT-USD1

(JUN-ICHI NEZU and ASUKA OKU), establishing ownership of the above-captioned patent pursuant to 37 C.F.R. § 3.73(b); and

5) The requisite fee of \$130.00 pursuant to 37 C.F.R. § 1.20(b), which is being paid concurrently herewith on the Electronic Filing System (EFS) by way of Deposit Account authorization.

In view of the enclosed documents, acceptance of this Request is respectfully requested. Please apply any charges or credits to Deposit Account No. 06-1050, referencing Attorney Docket No. 14875-0057002.

Respectfully submitted,

Date: October 21, 2011

/Janis K. Fraser/

Janis K. Fraser, Ph.D., J.D.
Reg. No. 34,819

Customer Number: 26161
Fish & Richardson P.C.
Telephone: (617) 542-5070
Facsimile: (877) 769-7945

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jun-ichi Nezu et al. Art Unit : 1647
Patent No. : 7,947,470 Examiner : Bridget E. Bunner
Issue Date : May 24, 2011 Conf. No. : 4898
Serial No. : 10/762,154
Filed : January 21, 2004
Title : POLYNUCLEOTIDES ENCODING HOCTN1 POLYPEPTIDE

Mail Stop PETITION

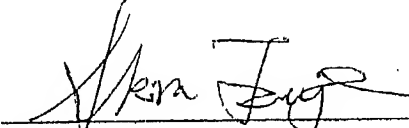
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**STATEMENT UNDER 37 C.F.R. § 1.324 THAT ERROR IN INVENTORSHIP
OCCURRED WITHOUT DECEPTIVE INTENT**

The inventorship error of failing to include me, Akira Tsuji, as an inventor of the above-referenced patent occurred without any deceptive intention on my part.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the patent.

Date: October 1, 2011


AKIRA TSUJI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jun-ichi Nezu et al. Art Unit : 1647
Patent No. : 7,947,470 Examiner : Bridget E. Bunner
Issue Date : May 24, 2011 Conf. No. : 4898
Serial No. : 10/762,154
Filed : January 21, 2004
Title : POLYNUCLEOTIDES ENCODING HOCTN1 POLYPEPTIDE

Mail Stop PETITION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**STATEMENT UNDER 37 C.F.R. §1.324 BY CURRENT NAMED INVENTORS,
AGREEING TO THE CHANGE OF INVENTORSHIP**

We, JUN-ICHI NEZU and ASUKA OKU, hereby declare:

(1) We are original named inventors of the subject matter presently claimed in the above-captioned patent.

(2) We agree to the change of inventorship of the above-captioned patent, adding AKIRA TSUJI as a joint inventor.

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the patent.

Date: September 12, 2011

Jun-ichi Nezu
JUN-ICHI NEZU

Date: August 1, 2011

Asuka Oku
ASUKA OKU

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jun-ichi Nezu et al. Art Unit : 1647
Patent No. : 7,947,470 Examiner : Bridget E. Bunner
Issue Date : May 24, 2011 Conf. No. : 4898
Serial No. : 10/762,154
Filed : January 21, 2004
Title : POLYNUCLEOTIDES ENCODING HOCTN1 POLYPEPTIDE

Mail Stop PETITION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CONSENT OF ASSIGNEE TO CORRECT INVENTORSHIP UNDER 37 C.F.R. § 1.324

CHUGAI SEIYAKU KABUSHIKI KAISHA, a Japanese corporation having a current business at 5-1, Ukima 5-chome, Kita-Ku, Tokyo 115-8543, JAPAN, is the Assignee of the entire right, title and interest in the above-captioned patent conveyed by the originally named inventors of the subject patent, JUN-ICHI NEZU and ASUKA OKU, by virtue of a chain of title originating in the aforementioned named inventors. A Certificate under 37 C.F.R. §3.73(b) accompanies this Consent.

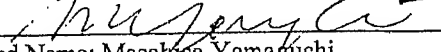
CHUGAI SEIYAKU KABUSHIKI KAISHA hereby consents to correction of inventorship of the above-captioned patent, adding AKIRA TSUJI as joint inventor.

The undersigned (whose title is supplied below) is empowered to act on behalf of the Assignee.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the patent.

CHUGAI SEIYAKU KABUSHIKI KAISHA

Date: October 5, 2011

By: 
Printed Name: Masahisa Yamaguchi
Title: Department Manager, Intellectual Property Department

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jun-ichi Nezu et al.
Patent No. : 7,947,470
Issue Date : May 24, 2011
Serial No. : 10/762,154
Filed : January 21, 2004
Title : POLYNUCLEOTIDES ENCODING HOCTN1 POLYPEPTIDE

Art Unit : 1647
Examiner : Bridget E. Bunner
Conf. No. : 4898

Mail Stop PETITION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. §3.73(b)

CHUGAI SEIYAKU KABUSHIKI KAISHA, a Japanese corporation having a current business at 5-1, Ukima 5-chome, Kita-Ku, Tokyo 115-8543, JAPAN, is the Assignee of the entire right, title and interest in the above-captioned patent conveyed by the two originally named inventors, JUN-ICHI NEZU and ASUKA OKU. The two originally named inventors conveyed their entire right, title and interest in an Assignment to Chugai Research Institute for Molecular Medicine, Inc. recorded on February 15, 2008, at Reel 020514, Frame 0124. Chugai Research Institute for Molecular Medicine, Inc. assigned its entire interest to Chugai Seiyaku Kabushiki Kaisha in a second Assignment recorded on February 15, 2008, at Reel 020514, Frame 0181. To the best of the undersigned's information and belief, ownership of the above-captioned patent is in the Assignee.

The undersigned (whose title is supplied below) is empowered to act on behalf of the Assignee.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the patent.

CHUGAI SEIYAKU KABUSHIKI KAISHA

Date: October 5, 2011

By: Masahisa Yamaguchi
Printed Name: Masahisa Yamaguchi
Title: Department Manager, Intellectual Property Department